

# Advanced Core in Algorithm Design #12

## 算法設計要論 第12回

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# Schedule

Lec. #	Date	Topics
1	10/4	Introduction, Stable matching
2	10/11	Basics of Algorithm Analysis, Greedy Algorithms (1/2)
3	10/18	Greedy Algorithms (2/2)
4	10/25	Divide and Conquer (1/2)
5	11/1	Divide and Conquer (2/2)
6	11/8	Dynamic Programming (1/2)
7	11/15	Dynamic Programming (2/2)
—	11/22	Thursday Classes
8	11/29	Network Flow (1/2)
9	12/6	Network Flow (2/2)
10	12/13	NP and Computational Intractability
11	12/20	Approximation Algorithms (1/2)
12	12/27	Approximation Algorithms (2/2)
13	1/10	Randomized Algorithms

# Approximation algorithm (repeated)

## Definition

For a maximization problem “ $\max f(x)$  s.t.  $x \in X$ ”,  
a solution  $x^* \in X$  is an  **$\alpha$ -approximation solution** if  $f(x^*) \geq \alpha \cdot \text{OPT}$

$$0 \leq \alpha \leq 1$$

## Definition

For a minimization problem “ $\min f(x)$  s.t.  $x \in X$ ”,  
a solution  $x^* \in X$  is an  **$\alpha$ -approximation solution** if  $f(x^*) \leq \alpha \cdot \text{OPT}$

$$\alpha \geq 1$$

## Definition

An  **$\alpha$ -approximation algorithm** is a polynomial-time algorithm that finds  
an  $\alpha$ -approximation solution for any instance

# Outline

- 1 Set Cover Problem
- 2 Monotone Submodular Maximization
- 3 Knapsack Problem

# Set Cover Problem

## Problem

- Input:  $U = \{e_1, \dots, e_n\}$ ,  $S_1, S_2, \dots, S_m \subseteq U$
- Goal: minimize  $|J|$  s.t.  $J \subseteq [m]$  and  $\bigcup_{j \in J} S_j = U$

This problem is **NP-hard** since the decision version is **NP-complete**

## Example

- $U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$
- $S_1 = \{e_1, e_2, e_3\}$
- $S_2 = \{e_1, e_4, e_5, e_7\}$
- $S_3 = \{e_3, e_6, e_7\}$
- $S_4 = \{e_4, e_5, e_6, e_7\}$

# Greedy algorithm

## Algorithm

```
1  $J \leftarrow \emptyset;$ 
2 while  $\bigcup_{j \in J} S_j \subsetneq U$  do
3   Select  $j^* \in [m]$  that maximizes  $|S_{j^*} \setminus \bigcup_{j \in J} S_j|$ ;
4    $J \leftarrow J \cup \{j^*\}$ ;
5 Return  $J$ ;
```

Example  $J = \emptyset$

- $U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$
- $S_1 = \{e_1, e_2, e_3\} \rightarrow S_1 \setminus \bigcup_{j \in J} S_j = \{e_1, e_2, e_3\}$
- $S_2 = \{e_1, e_4, e_5, e_7\} \rightarrow S_2 \setminus \bigcup_{j \in J} S_j = \{e_1, e_4, e_5, e_7\}$
- $S_3 = \{e_3, e_6, e_7\} \rightarrow S_3 \setminus \bigcup_{j \in J} S_j = \{e_3, e_6, e_7\}$
- $S_4 = \{e_4, e_5, e_6, e_7\} \rightarrow S_4 \setminus \bigcup_{j \in J} S_j = \{e_4, e_5, e_6, e_7\}$

# Greedy algorithm

## Algorithm

```
1  $J \leftarrow \emptyset;$ 
2 while  $\bigcup_{j \in J} S_j \subsetneq U$  do
3   Select  $j^* \in [m]$  that maximizes  $|S_{j^*} \setminus \bigcup_{j \in J} S_j|$ ;
4    $J \leftarrow J \cup \{j^*\}$ ;
5 Return  $J$ ;
```

Example  $J = \{2\}$

- $U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$
- $S_1 = \{e_1, e_2, e_3\} \rightarrow S_1 \setminus \bigcup_{j \in J} S_j = \{e_2, e_3\}$
- $S_2 = \{e_1, e_4, e_5, e_7\}$
- $S_3 = \{e_3, e_6, e_7\} \rightarrow S_3 \setminus \bigcup_{j \in J} S_j = \{e_3, e_6\}$
- $S_4 = \{e_4, e_5, e_6, e_7\} \rightarrow S_4 \setminus \bigcup_{j \in J} S_j = \{e_6\}$

# Greedy algorithm

## Algorithm

```
1  $J \leftarrow \emptyset;$ 
2 while  $\bigcup_{j \in J} S_j \subsetneq U$  do
3   Select  $j^* \in [m]$  that maximizes  $|S_{j^*} \setminus \bigcup_{j \in J} S_j|$ ;
4    $J \leftarrow J \cup \{j^*\}$ ;
5 Return  $J$ ;
```

Example     $J = \{1, 2\}$

- $U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$
- $S_1 = \{e_1, e_2, e_3\}$
- $S_2 = \{e_1, e_4, e_5, e_7\}$
- $S_3 = \{e_3, e_6, e_7\}$      $\rightarrow S_3 \setminus \bigcup_{j \in J} S_j = \{e_6\}$
- $S_4 = \{e_4, e_5, e_6, e_7\}$   $\rightarrow S_4 \setminus \bigcup_{j \in J} S_j = \{e_6\}$

# Greedy algorithm

## Algorithm

```
1  $J \leftarrow \emptyset;$ 
2 while  $\bigcup_{j \in J} S_j \subsetneq U$  do
3   Select  $j^* \in [m]$  that maximizes  $|S_{j^*} \setminus \bigcup_{j \in J} S_j|$ ;
4    $J \leftarrow J \cup \{j^*\}$ ;
5 Return  $J$ ;
```

Example       $J = \{1, 2, 3\}$

- $U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7\}$
- $S_1 = \{e_1, e_2, e_3\}$
- $S_2 = \{e_1, e_4, e_5, e_7\}$
- $S_3 = \{e_3, e_6, e_7\}$
- $S_4 = \{e_4, e_5, e_6, e_7\} \rightarrow S_4 \setminus \bigcup_{j \in J} S_j = \emptyset$

# Analysis of the greedy algorithm

## Theorem

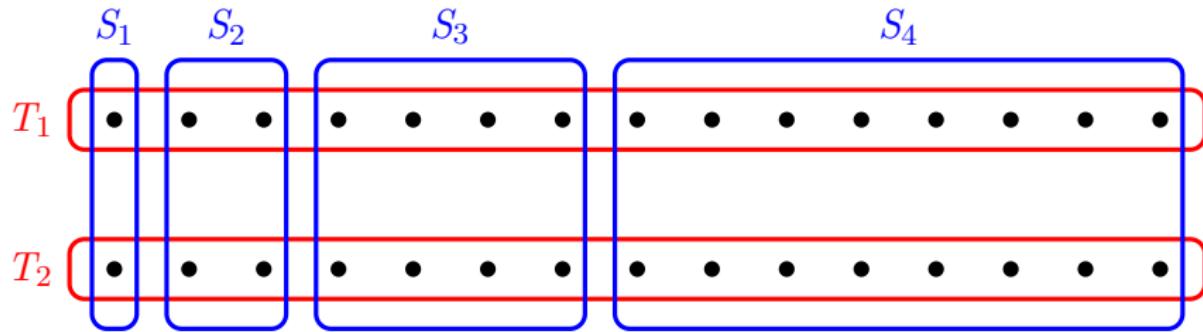
The greedy algorithm is an  $O(\log n)$ -approximation algorithm

## Proof

- $e_1, e_2, \dots, e_n$ : an order in which they were covered by the algorithm
- $p(e_k) := (\# \text{ of covered elements at the same time as } e_k)$   
 $\geq \frac{n - k + 1}{\text{OPT}}$  ( $\because$  at least  $n - k + 1$  elements are not covered before  $e_k$  is covered)
- $\text{ALG} = \sum_{e_k \in U} \frac{1}{p(e_k)} \leq \sum_{e_k \in U} \frac{\text{OPT}}{n - k + 1} = \sum_{t=1}^n \frac{\text{OPT}}{t} = H_n \cdot \text{OPT} = O(\log n) \cdot \text{OPT}$

## Worst case example

The greedy algorithm outputs  $\Omega(\log n)$ -approx. solution for the following



# Outline

- 1 Set Cover Problem
- 2 Monotone Submodular Maximization
- 3 Knapsack Problem

# Monotone Submodular Function

## Definition

A function  $f: 2^E \rightarrow \mathbb{R}$  is called

- **monotone** if  $f(X) \leq f(Y)$  ( $\forall X \subseteq Y \subseteq E$ )
- **submodular** if  $f(X) + f(Y) \geq f(X \cup Y) + f(X \cap Y)$  ( $\forall X, Y \subseteq E$ )
- **normalized** if  $f(\emptyset) = 0$

## Example

- linear function:  $f(X) = \sum_{i \in X} w_i$
- coverage function:  $f(X) = |\bigcup_{i \in X} S_i|$
- matroid rank function:  $f(X) = \max\{|X'| : X' \subseteq X, X' \in \mathcal{I}\}$
- joint entropy

# Monotone Submodular Maximization

## Problem

- Input: monotone submodular function  $f: 2^E \rightarrow \mathbb{R}_+$  and  $k \in \{1, \dots, |E|\}$
- Goal: maximize  $f(X)$  subject to  $|X| \leq k$

Set cover problem  $\leq_P$  Monotone submodular maximization problem

→ Monotone submodular maximization problem is **NP-hard**

## Example

- $U = \{1, 2, \dots, 13\}$
- $S_1 = \{1, 2, 3\}$
- $S_2 = \{2, 3, 4, 5, 6\}$
- $S_3 = \{4, 5, 6, 7, 8, 9, 10\}$
- $S_4 = \{8, 9, 10, 11, 12, 13\}$
- $E = \{1, 2, 3, 4\}$
- $f(X) = |\bigcup_{i \in X} S_i|$
- $k = 2$

# Greedy algorithm

```
1 Initially  $S^{(0)} \leftarrow \emptyset$ ;  
2 for  $\ell \leftarrow 1, 2, \dots, k$  do  
3   Let  $e^{(\ell)} \in \arg \max \{f(S^{(\ell-1)} \cup \{e\}) - f(S^{(\ell-1)}) \mid e \in E \setminus S^{(\ell-1)}\}$ ;  
4    $S^{(\ell)} \leftarrow S^{(\ell-1)} \cup \{e^{(\ell)}\}$ ;  
5 Return  $S^{(k)}$ ;
```

## Example

- $U = \{1, 2, \dots, 13\}$
- $S_1 = \{1, 2, 3\}$
- $S_2 = \{2, 3, 4, 5, 6\}$
- $S_3 = \{4, 5, 6, 7, 8, 9, 10\}$
- $S_4 = \{8, 9, 10, 11, 12, 13\}$
- $E = \{1, 2, 3, 4\}$
- $f(X) = |\bigcup_{i \in X} S_i|$
- $k = 2$

# Approximation ratio

## Lemma 1

For all  $T \subseteq T' \subseteq E$ ,  $f(T') - f(T) \leq \sum_{e \in T' \setminus T} (f(T \cup \{e\}) - f(T))$

## Lemma 2

$$f(S^{(\ell)}) - f(S^{(\ell-1)}) \geq \frac{1}{|S^* \setminus S^{(\ell-1)}|} (f(S^*) - f(S^{(\ell-1)})) \geq \frac{1}{k} (f(S^*) - f(S^{(\ell-1)}))$$

## Theorem

$$f(S^{(\ell)}) \geq \left(1 - \left(1 - \frac{1}{k}\right)^\ell\right) f(S^*)$$

The greedy algorithm is a  $(1 - 1/e)$ -approximation algorithm because  
 $f(S^{(k)}) \geq \left(1 - \left(1 - \frac{1}{k}\right)^k\right) f(S^*) \geq \left(1 - \frac{1}{e}\right) f(S^*)$  by the theorem

# Proof of Lemma 1

## Lemma 1

For all  $T \subseteq T' \subseteq E$ ,  $f(T') - f(T) \leq \sum_{e \in T' \setminus T} (f(T \cup \{e\}) - f(T))$

## Proof

- Let  $T' \setminus T = \{e_1, e_2, \dots, e_m\}$
- $T_0 = T, T_1 = T \cup \{e_1\}, \dots, T_\ell = T \cup \{e_1, \dots, e_\ell\}, \dots, T_m = T'$
- By submodularity,  $f(T_{\ell-1} \cup \{e_\ell\}) - f(T_{\ell-1}) \leq f(T \cup \{e_\ell\}) - f(T)$
- By summing up the inequalities, we get the desired inequality

# Proof of Lemma 2

## Lemma 1

For all  $T \subseteq T' \subseteq E$ ,  $f(T') - f(T) \leq \sum_{e \in T' \setminus T} (f(T \cup \{e\}) - f(T))$

## Lemma 2

$$f(S^{(\ell)}) - f(S^{(\ell-1)}) \geq \frac{1}{|S^* \setminus S^{(\ell-1)}|} (f(S^*) - f(S^{(\ell-1)})) \geq \frac{1}{k} (f(S^*) - f(S^{(\ell-1)}))$$

## Proof

- For each  $\ell \in \{1, \dots, k\}$ , we have

$$\begin{aligned} f(S^*) - f(S^{(\ell-1)}) &\leq f(S^* \cup S^{(\ell-1)}) - f(S^{(\ell-1)}) \\ &\stackrel{\text{by Lemma 1}}{\leq} \sum_{e \in S^* \setminus S^{(\ell-1)}} (f(S^{(\ell-1)} \cup \{e\}) - f(S^{(\ell-1)})) \\ &\leq |S^* \setminus S^{(\ell-1)}| \cdot \max_{e \in S^* \setminus S^{(\ell-1)}} (f(S^{(\ell-1)} \cup \{e\}) - f(S^{(\ell-1)})) \\ &\leq |S^* \setminus S^{(\ell-1)}| \cdot (f(S^{(\ell)}) - f(S^{(\ell-1)})) \end{aligned}$$

- The second inequality of Lemma 2 holds by  $|S^* \setminus S^{(\ell-1)}| \leq k$

# Proof of Theorem

## Theorem

$$f(S^{(\ell)}) \geq \left(1 - \left(1 - \frac{1}{k}\right)^\ell\right) f(S^*)$$

## Proof by induction

- Base step ( $\ell = 0$ ):  $f(S^{(0)}) = 0 = \left(1 - (1 - 1/k)^0\right) f(S^*)$
- Induction step ( $\ell \geq 1$ ):

$$\begin{aligned} f(S^{(\ell+1)}) &\stackrel{\text{by Lemma 2}}{\geq} f(S^{(\ell)}) + \frac{1}{k} \left( f(S^*) - f(S^{(\ell)}) \right) \\ &= \left(1 - \frac{1}{k}\right) \cdot f(S^{(\ell)}) + \frac{1}{k} \cdot f(S^*) \\ &\stackrel{\text{induction hypothesis}}{\geq} \left(1 - \frac{1}{k}\right) \left(1 - \left(1 - \frac{1}{k}\right)^\ell\right) \cdot f(S^*) + \frac{1}{k} \cdot f(S^*) \\ &= \left(1 - \left(1 - \frac{1}{k}\right)^{\ell+1}\right) \cdot f(S^*) \end{aligned}$$

# Outline

- 1 Set Cover Problem
- 2 Monotone Submodular Maximization
- 3 Knapsack Problem

# Knapsack problem

## Problem

- Input: items  $E = \{1, 2, \dots, n\}$  and a capacity  $W \in \mathbb{Z}_+$   
item  $i$  has value  $v_i \in \mathbb{Z}_+$  and size  $w_i \in \mathbb{Z}_+$
- Goal: maximize  $\sum_{i \in I} v_i$  subject to  $I \subseteq \{1, 2, \dots, n\}$   $\sum_{i \in I} w_i \leq W$

Recap: knapsack problem is **NP-hard**

## Examples

$$W = 16$$

$i$	$v_i$	$w_i$
1	55	4
2	61	2
3	82	9
4	38	1
5	63	3

# Knapsack problem

## Problem

- Input: items  $E = \{1, 2, \dots, n\}$  and a capacity  $W \in \mathbb{Z}_+$   
item  $i$  has value  $v_i \in \mathbb{Z}_+$  and size  $w_i \in \mathbb{Z}_+$
- Goal: maximize  $\sum_{i \in I} v_i$  subject to  $I \subseteq \{1, 2, \dots, n\}$   $\sum_{i \in I} w_i \leq W$

Recap: knapsack problem is **NP-hard**

## Examples

$$W = 16$$

optimal value is  $61 + 82 + 38 + 63 = 244$

$i$	$v_i$	$w_i$
1	55	4
2	61	2
3	82	9
4	38	1
5	63	3

# Continuous knapsack problem

## (Integral) Knapsack problem

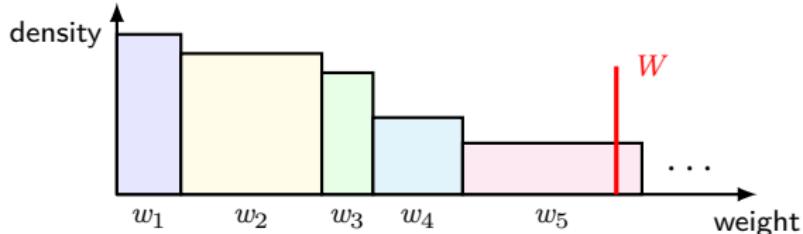
$$\max \sum_{i \in E} v_i x_i \quad \text{s.t.} \quad \sum_{i \in E} w_i x_i \leq W, \quad x_i \in \{0, 1\} \quad (\forall i \in E)$$

## Continuous Knapsack problem

$$\max \sum_{i \in E} v_i x_i \quad \text{s.t.} \quad \sum_{i \in E} w_i x_i \leq W, \quad x_i \in [0, 1] \quad (\forall i \in E)$$

## Observations

- $\text{OPT}^{\text{int}} \leq \text{OPT}^{\text{cont}}$
- fractional knapsack problem can be solved by a greedy algorithm  
descending order of their density (values per unit weight  $v_i/w_i$ )



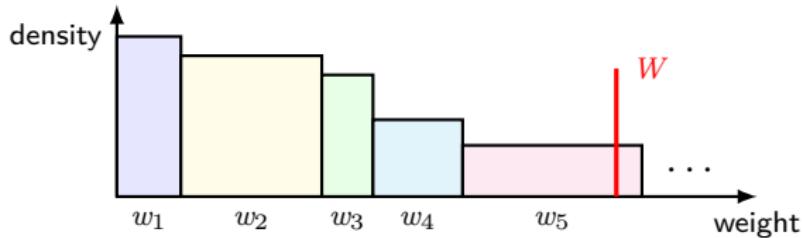
# 1/2-approximation algorithm

- 1 Sort items (with size  $\leq W$ ) and relabel so that  $\frac{v_1}{w_1} \geq \frac{v_2}{w_2} \geq \dots \geq \frac{v_n}{w_n}$ ;
- 2 Let  $k$  be the index such that  $\sum_{i=1}^{k-1} w_i \leq W < \sum_{i=1}^k w_i$ ;
- 3 Pick the better of  $\{1, 2, \dots, k-1\}$  and  $\{k\}$ ;

## Theorem

The above algorithm is 1/2-approximation

- $\mathbf{x}^* = (\underbrace{1, \dots, 1}_{1 \text{ to } k-1}, \underbrace{\frac{W - \sum_{i=1}^{k-1} w_i}{w_k}, 0, \dots, 0}_{k+1 \text{ to } n})$  is optimal for cont. ver.
- $2 \max \left\{ \sum_{i=1}^{k-1} v_i, v_k \right\} \geq \sum_{i=1}^k v_i \geq \sum_{i=1}^n v_i x_i^* = \text{OPT}^{\text{cont}} \geq \text{OPT}^{\text{int}}$

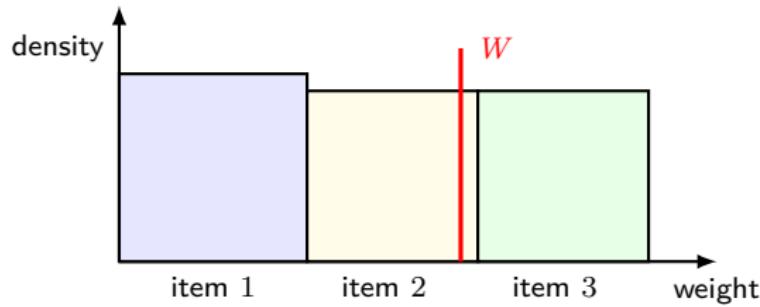


# Worst case

## Instance

$n = 3$  and  $W = 2$

- item 1:  $v_1 = (1 + \epsilon)^2$ ,  $w_1 = 1 + \epsilon$
- item 2:  $v_2 = 1$ ,  $w_2 = 1$
- item 3:  $v_3 = 1$ ,  $w_3 = 1$



Analysis  $\frac{\text{ALG}}{\text{OPT}} = \frac{(1+\epsilon)^2}{2} \rightarrow \frac{1}{2} \quad (\epsilon \rightarrow 0)$

## Definition: Polynomial-time approximation scheme (PTAS)

A PTAS is a  $(1 - \epsilon)$ -approximation algorithm that runs in time polynomial in the problem size for any constant  $\epsilon > 0$

## Definition: Fully polynomial-time approximation scheme (FPTAS)

A FPTAS is a  $(1 - \epsilon)$ -approximation algorithm that runs in time polynomial in both the problem size and  $1/\epsilon$  for any  $\epsilon > 0$

- A  $(1 - \epsilon)$ -approximation algorithm that runs in  $O(n^{1/\epsilon})$  or  $O(n^{(1/\epsilon)^{1/\epsilon}})$  is PTAS but not FPTAS
- We will see PTAS and FPTAS for the knapsack problem

Idea: guess the top- $\ell$  for value in the optimal solution

## Algorithm

- 1 Sort items (with size  $\leq W$ ) and relabel so that  $\frac{v_1}{w_1} \geq \frac{v_2}{w_2} \geq \dots \geq \frac{v_n}{w_n}$ ;
- 2 **foreach** nonempty  $X \subseteq E$  with  $|X| \leq \ell$  and  $\sum_{i \in X} w_i \leq W$  **do**
- 3      $S_X \leftarrow X$  and  $v^* \leftarrow \min_{i \in X} v_i$ ;
- 4     **for**  $i \leftarrow 1, 2, \dots, n$  **do**
- 5         **if**  $i \notin X$ ,  $v_i \leq v^*$ , and  $w(S_X) + w_i \leq W$  **then**
- 6              $S_X \leftarrow S_X \cup \{i\}$ ;
- 7 **Return** the optimal solution among  $S_X$ ;

## Theorem

The above algorithm is  $(1 - \frac{1}{\ell+1})$ -approximation and runs in  $O(n^{\ell+1})$  time

- $O(n^\ell)$  possibilities of  $X$  imply  $O(n^{\ell+1})$  time + $O(n \log n)$  time for sort
- By setting  $\ell = \lceil 1/\epsilon \rceil - 1$ , it is  $(1 - \epsilon)$ -approx. alg. that runs in  $O(n^{\lceil 1/\epsilon \rceil})$  time

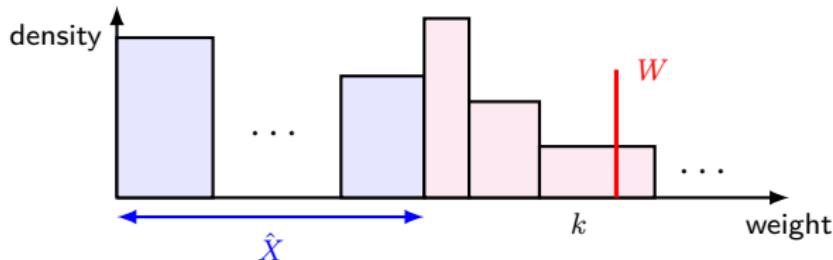
# Proof of approximation ratio

## Theorem

The algorithm is  $(1 - \frac{1}{\ell+1})$ -approximation

## Proof

- Assumption: the optimal solution contains at least  $\ell + 1$  items  
since otherwise the algorithm outputs the optimal solution
- Let  $X^*$  be the optimal solution and let  $\hat{X}$  be the top- $\ell$  items in it
- $v(X^*) \leq v(S_{\hat{X}}) + \sum_{i=1}^k v_i \leq v(S_{\hat{X}}) + \sum_{i=1}^{k-1} v_i + \frac{v(X^*)}{\ell+1} \leq \text{ALG} + \frac{v(X^*)}{\ell+1}$   
→  $\text{ALG} \geq v(X^*) - \frac{v(X^*)}{\ell+1} = (1 - \frac{1}{\ell+1})\text{OPT}$



## Recap: Dynamic programming for knapsack problem

$$\text{OPT}(k, w) = \max\{v(X) \mid X \subseteq \{1, 2, \dots, k\}, w(X) \leq w\}$$

### Recursive formula

$$\text{OPT}(k, w) = \begin{cases} 0 & \text{if } k = 0, \\ \text{OPT}(k - 1, w) & \text{if } w_k > w \\ \max\{\text{OPT}(k - 1, w), \text{OPT}(k - 1, b - w_k) + v_k\} & \text{otherwise} \end{cases}$$

Compute for  $k = 0, 1, \dots, n$  and  $w = 0, 1, \dots, W \rightarrow O(nW)$  time

Example  $(w_i, v_i) = (4, 55), (2, 61), (9, 82), (1, 38), (3, 63)$ ,  $W = 16$

$k \setminus w$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
1	0	0	0	0	55	55	55	55	55	55	55	55	55	55	55	55	
2	0	0	61	61	61	61	116	116	116	116	116	116	116	116	116	116	
3	0	0	61	61	61	61	116	116	116	116	116	143	143	143	143	198	
4	0	38	61	99	99	99	116	154	154	154	154	181	181	181	198	236	
5	0	38	61	99	101	124	162	162	162	179	217	217	217	217	244	244	

# Another dynamic programming for knapsack problem

$$\text{OPT}(k, v) = \min \{ w(X) \mid X \subseteq \{1, 2, \dots, k\}, v(X) = v \}$$

## Recursive formula

$$\text{OPT}(k, v) = \begin{cases} 0 & \text{if } v = 0, \\ +\infty & \text{else if } k = 0, \\ \min\{\text{OPT}(k - 1, v), \text{OPT}(k - 1, v - v_k) + w_k\} & \text{else if } v \geq v_k, \\ \text{OPT}(k - 1, v) & \text{otherwise} \end{cases}$$

Compute for  $k = 0, 1, \dots, n$  and  $v = 0, 1, \dots, \sum_{i=1}^n v_i$   $\rightarrow O(n^2 \max_i v_i)$  time

Example  $(w_i, v_i) = (55, 4), (61, 2), (82, 6), (38, 1), (63, 3)$ ,  $W = 160$

$k \setminus v$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	0	$\infty$															
1	0	$\infty$	$\infty$	$\infty$	55	$\infty$											
2	0	$\infty$	61	$\infty$	55	$\infty$	116	$\infty$									
3	0	$\infty$	61	$\infty$	55	$\infty$	82	$\infty$	143	$\infty$	137	$\infty$	198	$\infty$	$\infty$	$\infty$	
4	0	38	61	99	55	93	82	120	143	181	137	175	198	236	$\infty$	$\infty$	
5	0	38	61	63	55	93	82	118	143	145	137	175	198	200	238	261	
																299	

# FPTAS

Idea: scale down the value of every item into  $0, 1, \dots, \ell$

## Algorithm

- 1 Let  $v_{\max} = \max_{i'=1}^n v_{i'}$ ;
- 2 For each item  $i$ , let  $\hat{v}_i = \left\lfloor \frac{v_i}{v_{\max}} \cdot \ell \right\rfloor$  (delete  $i$  with  $w_i > W$ );
- 3 Compute the optimal solution for  $((w_1, \hat{v}_1), \dots, (w_n, \hat{v}_n); W)$  by the dynamic programming and output it;

## Theorem

The above algorithm is  $(1 - \frac{n}{\ell})$ -approximation and runs in  $O(n^2\ell)$  time

- The running time is  $O(n^2\ell)$  since  $\max_i \hat{v}_i = \ell$
- By setting  $\ell = \lfloor \frac{n}{\epsilon} \rfloor$ , it is  $(1 - \epsilon)$ -approx. alg. that runs in  $O(\frac{n^3}{\epsilon})$  time



# Proof of approximation ratio

## Theorem

The algorithm is  $(1 - \frac{n}{\ell})$ -approximation

## Proof

- Let  $X^*$  be the optimal solution and let  $X$  be the output of the algorithm

- $\frac{v_i}{v_{\max}} \cdot \ell - 1 < \hat{v}_i = \left\lfloor \frac{v_i}{v_{\max}} \cdot \ell \right\rfloor \leq \frac{v_i}{v_{\max}} \cdot \ell$

- Thus, we have

$$\begin{aligned} \sum_{i \in X} v_i &\geq \sum_{i \in X} \hat{v}_i \cdot \frac{v_{\max}}{\ell} \stackrel{X \text{ is optimal for } \hat{v}_i}{\geq} \frac{v_{\max}}{\ell} \sum_{i \in X^*} \hat{v}_i \geq \frac{v_{\max}}{\ell} \sum_{i \in X^*} \left( \frac{v_i}{v_{\max}} \ell - 1 \right) \\ &= \sum_{i \in X^*} v_i - \frac{v_{\max}}{\ell} |X^*| \stackrel{v_{\max} \leq \sum_{i \in X^*} v_i}{\geq} \left( 1 - \frac{|X^*|}{\ell} \right) \sum_{i \in X^*} v_i \geq \left( 1 - \frac{n}{\ell} \right) \sum_{i \in X^*} v_i \end{aligned}$$

